



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

DIETER HERMELING ET AL.

Serial No.: 10/562,239

Filed: December 22, 2005

For: (METH) ACRYLIC ACID ESTERS OF MONOALKOXYLATED POLYOLS AND PREPARATION THEREOF

Attorney Docket No. 29827/41758

Group Art Unit: 1712

Examiner: Unassigned

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage, as first class mail, in an envelope addressed to: Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dated: April 28, 2006

James J. Napoli

Registration No. 32,361 Attorney for Applicants

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to their duty of disclosure under 37 C.F.R. §1.56, applicants hereby bring to the examiner's attention patent documents that may be material to the examination of the above-identified application.

Therefore, in compliance with 37 C.F.R. §1.97 and §1.98, applicants enclose a completed Form PTO-1449 listing the possibly pertinent patent documents and a copy of each non-U.S. patent document.

This Information Disclosure Statement is submitted more than three months after the filing date of the above-identified application, and, to applicants' knowledge, before the mailing date of a first Office Action on the merits. Therefore, under 37

C.F.R. §1.97(b), this Information Disclosure Statement shall be considered by the Patent Office.

The Commissioner, however, is hereby authorized to charge any fee which may be required during the pendency of this application under 37 C.F.R. 1.16 or 37 C.F.R. 1.17 to Deposit Account No. 13-2855. A duplicate copy of this Transmittal is enclosed herewith.

We also enclose a copy of a PCT Search Report for the convenience of the examiner. In addition, please note that EP 238 050 corresponds to U.S. Patent No. 4,873,299; and WO 98/47951 corresponds to U.S. Patent No. 6,395,830.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP

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James J. Napoli

(Registration No. 32,361) Attorneys for Applicants 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606 (312) 474-6300

Chicago, Illinois April 28, 2006



Form 1449PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known		
Application Number	10/562,239	
Filing Date	December 22, 2005	
First Named Inventor	DIETER HERMELING ET AL.	
Group Art Unit 1712		
Examiner Name	Unassigned	
Attorney Docket Number	29827/41758	

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	
		4,873,299	10-10-1989	
		6,395,830	05-28-2002	
		0,395,030	05-28-2002	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY		
		29 13 218 A1 (DE)	10-23-1980		
		238 050 (EPO)	09-23-1987		
		322 110 (EPO)	06-28-1989		
		06 145 341 (JP) (abstract)	05-24-1994		
		WO 93/21237 (PCT)	10-28-1993		
		WO 98/47951 (PCT)	10-29-1998		
		WO 01/41818 A1 (PCT)	06-14-2001		
		WO 01/56625 A2 (PCT)	08-09-2001		

		OTHER PRIOR ART – NONPATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s) publisher, city and/or country where published.		
		International Search Report dated November 11, 2004 for PCT/EP2004/007078 filed June 30, 2004	
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Examiner	Da	ate	l
Signature	l Co	onsidered	ĺ

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Dated: April\_28, 2006 .

James J. Napoli

Registration No. 32,361 Attorney for Applicants

## TRANSMITTAL OF ENGLISH-LANGUAGE TRANSLATION OF THE INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby submit an English-language translation of the International Preliminary Report on Patentability issued in connection with PCT/EP2004/007078, from which applicants claim priority.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP

Ву

James J. Napoli \

(Registration No. 32,361) Attorneys for Applicants 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606 (312) 474-6300

Chicago, Illinois April 28, 2006